

COPY

Statement Required of Members of the
Judiciary of the State of Illinois

**FILED
SUPREME COURT
CLERK**

04/18/2022

STATEMENT OF
Robert L. Carter

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1.)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary and Matthew, are adults and emancipated.

There are a variety of transactions in my wife Nancy's Wayne Hummer Accounts. (Also known as Hummer Financial Advisory Svs or Trade PMR).

I own no stock except for deferred income plan at work.

My wife sold 1/8th interest in farm in Andalusia, Illinois in 2020.

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Not more than \$5,000)

Chase, Delaware, VISA (revolving charge)
Bank of America MasterCard, Delaware (revolving charge)
Discover (revolving charge)
American Express (revolving charge)
My wife has ATT Universal Credit Card, Shell Credit Card, and Citibank card (revolving charge)

Category B - (Greater than \$5,000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None. (Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV, previously at Countrywide, CA, later though Mr. Cooper, Coppell, TX, paid March 2022).

Category F - (Greater than \$250,000)

Condominium mortgage at American Commercial Bank & Trust, First National Bank of Ottawa, Ottawa, Illinois.

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired). (In addition, my son is a licensed lawyer in the State of Illinois. However, he does not reside with me).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship, Social Security, and VA disability.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State and County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director, and Past President of Illinois Judges Association. (A non-profit entity, no compensation paid).

My wife is a past member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members.

In prior years, I have received reimbursement for expenses such as tuition, travel expenses, hotel accommodations, and meals to attend various seminars, conferences, and meetings from the following:

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001.

American Law Institute, Philadelphia, PA.

Administrative Office of the Illinois Courts, Springfield, IL.

The George Mason Law & Economic Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001.

National Foundation of Judicial Excellence Symposium, 55 W. Monroe Street, Suite 2000, Chicago, IL 60603.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

Robert L. Carter

Judge's Signature

04/18/2022

Date

Email Address

A receipt will be emailed to you by the Clerk of the Supreme Court indicating the date of the filing of your Declaration of Economic Interests Statement.



Click to Attach (not to exceed 24MB)

1ST ATTACHMENT TO STATEMENT OF JUSTICE ROBERT L. CARTER

- A. Realty — [REDACTED] Illinois residence, condominium on N. Orleans in Chicago, Illinois. Condominium at South Indiana Avenue, Chicago sold March 2022. Personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002, from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc. Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/7th Interest in Rink Farm near Prophetstown, Whiteside County, Illinois.
- H. My wife has a 15% and each child a 6% Interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, asserts, and stock at Wayne Hummer Financial Adv. Services Portfolio, or its successor (see attached list), Trade PMR.
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL, and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio, or its successor. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund — I.D.S. Class A).
- O. I receive a Veteran's Administration check every month for a service-related disability.
- P. My wife sold her 1/8th Interest in farm in Andalusia, Illinois in 2020.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER

INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
1994 CITY OF BOSTON	15,000
FIN CORP & CHILDS RAY	
BR	
CRK 2.0000 DUE 11/01/11	
DTD 11/01/11 FD 03/01/12	
Nancy MS	
EUSP 721170014	

TERREBEN H20 DEY AOT 20,000
 AER FR PQ REY ANT ISLGS
 1A BR ANT
 CPN 2.1874 DUB 01/01/10
 DTD 03/01/12 FO 07/01/12
 Woody AA, BAP AA
 CUBR 00001412
 SUB-RENT TO INTERMEDIATE MINIMUM TAX 5,000 paid 10/1/15
 VIRGINIA DT ISSO DEY NUTH
 COMMINT MTG SERA REY 20,000
 BR
 CPN 1.5507 DUB 01/01/10
 DTD 12/01/12 FO 07/01/12
 Woody AA, BAP AA
 CUBR 02012006

Long-term certificates of deposit

DESCRIPTION	QUANTITY
EXDOOVER BANK CO	
GREENWOOD AOT288	20,000
FDIC NUMBER	
OPK 1.200% DUE 11/30/17	
DTG 060312 FO 060313	
CUBIP 08160443	
GRANVILLE BANK CO	
BALTIMORE CITY AOT288	25,000
FDIC NUMBER	
OPK 1.200% DUE 11/30/17	
DTG 060312 FO 060313	
CUBIP 08160443	

Protection Ltr And TA Ltr

Schuyler City, N.J. Jul 1886. Age 23/00

Southwestern & Co. Acct. No. 26,000

400. MARCO Vito is Hgt of 180cm 42/4/12

66 cbr. Global N, Hoffman Gates

28 Chemours Co, Wilmington, DE, cc

Also cash deposits w/ Bank

AISA small holdings of wife COK global holdings; SIDA Bloomberg, The Motley Fool, Fishe
GHEMOORS Co, Darrachan Corp, Dow Inc,

609

DESCRIPTION: ATTACHMENT #2

Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
RELIANT ENERGY CORP	150
LIT	
ALLSTATE CORP	300
ALL	
AT & T INC	89
T	
AUTOMATIC DATA	200
PROCESSING	
ADP	
CAMDEN PROPERTY TRUST	301
NEIT B&I	
CPT	
DOMINICA INC	100
DLA	
DTE ENERGY CO	200
DLA	
DUPONT EL DE HEMOURS	142
AND COMPANY	
DO	
EASTON ELECTRIC CO	200
EMR	
EXCHANGE TRADED	400
CONCEPTS TRADING LLC	
IRON ROCK MFG	
MAP	
EXCH MOBIL CORP	200
KODAK	
FIFTH STREET FINANCE	700
COMP	
FBO	
GRANGER WY INC	200
STONY	
HILLARY BRANDS LTD	824
ISH	
HONEYWELL INTERNATIONAL	100
INC	
HOLT	
JOHNSON & JOHNSON	100
AL	
JPMORGAN CHASE & CO	098
DLA	
MELOK & CO INC NEW	100
DLA	
NEWELL RUBBERMAID INC	300
DLA	
OWENS & MINOR INC NEW	300
DLA	

PROCTER & GAMBLE CO	200
PP	
RPM INTERNATIONAL INC	400
RPM	
SNAP-ON INC	200
SNA	
SPOR S&P 800 TRUST HT	200
SPY	
TEVA PHARMACEUTICAL	200
ADR INDS LTD	
TEVA	
UDR INC	540
UDR	
XCEL ENERGY INC	300
XEL	
DIA DO	206

5th Inc, Walgreen's

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Judiciary of the State of Illinois**

APR 22 2021

**CLERK
SUPREME COURT**

STATEMENT OF

Robert L. Carter

(Please type/print your name)

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(spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1.)

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts. (Also known as Hummer Financial Advisory Svs or Trade PMR).

I own no stock except for deferred income plan at work.

My wife sold 1/8th interest in farm in Andalusia, Illinois.

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(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5,000)

Chase, Delaware, VISA (revolving charge)

Bank of America MasterCard, Delaware (revolving charge)

Discover (revolving charge)

American Express (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5,000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)
now at Mr. Cooper, Coppell, TX

Category F - (Greater than \$250,000)

None.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired). (In addition, my son is a licensed lawyer in the State of Illinois. However, he does not reside with me).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

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(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

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7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

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Administrative Office of the Illinois Courts, Springfield, Illinois

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001

National Foundation for Judicial Excellence Symposium, 55 W. Monroe St., Suite 2000, Chicago, IL 60603

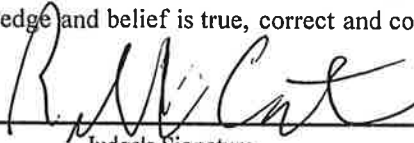
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 22 2021

SUPREME COURT
CLERK

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

- A. Realty [REDACTED] Illinois residence and condominium at [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, near Andalusia, Rock Island County, Illinois, and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm near Prophetstown, Whiteside County, Illinois.
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets, and stock at Wayne Hummer Financial Adv. Services Portfolio, or its successor (see attached list), Trade PMR.
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL, and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio, or its successor. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund - I.D.S. Class A).
- O. I receive a Veteran's Administration check every month for a service related disability.
- P. My wife sold her 1/8th interest in farm in Andalusia, Illinois. (See G above).

ANSWER TO QUESTION #1

A TRINITY WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CHTY KY SCH DIST	15,000
FIN CORP SCH BLDG REV	
B/E	
CPN 2500% DUE 11/01/18	
DTD 11/01/11 FC 05/01/12	
Moody AAS	
CUSIP 721174YY4	

TENNESSEE HSG DEY AGY
 REG FRN PG REY AMT ISSUE
 1A BE AMT
 CPH 2.190% DUE 01/01/19
 DTD 05/01/13 PG 07/01/13
 Moody A1; S&P A+
 CUSIP 000451A12

5,000 sold
x. 12/1/15

SUBJECT TO ALTERNATIVE MINIMUM TAX. 12/15
VIRGINIA ST HSG DEV AUTH
COMPLY WITH MTG SER A REV 20,000
BE
CPN 1.50% DUE 01/01/20
DTD 12/01/12 FC 07/01/13
Moody AAA, S&P AAA
CUSIP 82312UBS6

Verificatos of Deposit

Long-term certificates of deposit:

DESCRIPTION	QUANTITY
DISCOVER BANK CD	
GREENWOOD DE AOT/365	20,000
FDIC INSURED	
CPK 0.600% DUE 08/05/15	
DTD 03/03/13 FO 12/05/13	
CUSIP 284671PW4	
GE CAPITAL BANK CD	
SALT LAKE CITY UT AOT/365	25,000
FDIC INSURED	
CPK 1.200% DUE 11/30/17	
DTD 11/30/12 FO 05/30/13	
CUSIP 36160W4J3	

Protection Ltr. And TA Lectures

Seringarum Cnty, W. Va. Vol 186, p. 2500

Southwestern & New Arch Flt ctd 20,000

400 MAREK 4000 10 Hgł 10 10000 400/4000

66 CDR Global K, Hoffman Gates

28 Chemours Co, Wilmington, DE, cc

Also cash deposits w/ Bank

Also small holdings of wife COX global holdings,
GHE-MORGAN Co, Barracuda Corp, Dow Inc, SPDR Bloomberg, The Motley Fool
Fisher

DESCRIPTION : ATTACHMENT #2

Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ALLIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	89
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI OPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT EL DE NEMOURS AND COMPANY DO	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED RT CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRANGER WY INC GWV	200
HILLSHIRE BRANDS LTD HSH	824
HONEYWELL INTERNATIONAL INO HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	898
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO	200
PG	
RPM INTERNATIONAL INC	400
RPM	
SNAP-ON INC	200
SNA	
SPDR S&P 600 TRUST ET	200
SPY	
TEVA-PHARMACEUTICAL	200
ADR INDS LTD	
TEVA	
UOR INC	540
UOR	
XCEL ENERGY INC.	300
XEL	
AM DO	206

Thermomys fisherianus

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Judiciary of the State of Illinois

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APR 20 2020

CLERK
SUPREME COURT

STATEMENT OF

Robert L. Carter

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1.)

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty - [REDACTED], Illinois residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio, or its successor (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
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- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund - I.D.S. Class A).
- O. I receive a Veteran's Administration check every month for a service related disability.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER
INVESTMENTS

A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CTRY KY SCH DIST FIN CORP SCH BLDG REV BIE CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YV4	15,000

DESCRIPTION	QUANTITY
TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A BE AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 800481AL2	20,000

DESCRIPTION	QUANTITY
VIRGINIA ST HSG DEV AUTH COMMWLTH MTG SER A REV BIE CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 82812UB98	20,000

Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 05/05/15 DTD 05/05/13 FC 12/05/13 CUSIP 284671PW4	20,000
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Life End TA Life Insurance

Sengawon Cnty 11/11/11 Old 186 eds 25,000

Southwestern K Dev Auth All eds 20,000

400 MARKET Vectors Hgt & Index MLP/YMLP

66 CDR Global H, Hoffman G states

28 Chemours Co, Wilmington, DE, etc

Also cash deposits w/ Bank

DESCRIPTION ATTACHMENT #2

Cash

INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ALLIANT ENERGY CORP INT	150
ALLSTATE CORP ALL	300
AT & T INC T	89
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT EL DE NEMOURS AND COMPANY DO	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANOS HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UOR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Handwritten notes and signatures at the bottom of the page.

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

I own no stock except for deferred income plan at work.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5,000)

Chase, Delaware, VISA (revolving charge)

Bank of America MasterCard, Delaware (revolving charge)

Discover (revolving charge)

American Express (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5,000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)
now at Mr. Cooper, Coppell, TX

Category F - (Greater than \$250,000)

None.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired). (In addition, my son is a licensed lawyer in the State of Illinois. However, he does not reside with me).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship, Social Security and VA disability.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association. (A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members.

I have received reimbursement for expenses such as tuition, travel expenses, hotel accommodations and meals to attend various seminars, conference and meetings from the following:

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC. 20001

American Law Institute, Philadelphia, PA

Administrative Office of the Illinois Courts, Springfield, Illinois

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001

National Foundation for Judicial Excellence Symposium, 55 W. Monroe St., Suite 2000, Chicago, IL 60603

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

04/17/2020

Date



Email Address

FILED

APR 20 2020

**SUPREME COURT
CLERK**

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 16 2019

**CLERK
SUPREME COURT**

**STATEMENT OF
ROBERT L. CARTER**

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

I own no stock except for deferred income plan at work.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED], Illinois residence and condominium at [REDACTED], [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. I receive a Veteran's Administration check every month for a service related disability.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER

INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CRTY KY SCH DIST FIN CORP SCH BLDG REV B/E CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YV4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A B/E AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 800481AL2	20,000
5,000 sold 12/1/15	
SUBJECT TO ALTERNATIVE MINIMUM TAX	
VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV B/E CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 82812UB98	20,000

Certificates of Deposit Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 05/05/15 DTD 05/05/13 FC 12/05/13 CUSIP 284671PW4	20,000
sold 7/1/15	
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Life & TA Life Insurance

Sengawon City, 11/1/11, Old \$26,000
Southwestern & Co. Auth. 11/1/11, Old \$20,000

400 MARETT & Co. Hgt & ex. code MLP/YMLP
66 CDR Global Inc, Hoffman G. Yates
28 Chemours Co, Wilmington, DE, CC

Also cash deposits w/ Bank

ATTACHMENT #2

Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ACCIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	99
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT EL DE NEMOURS AND COMPANY DO	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA-PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Handwritten notes at bottom of Attachment #2

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

Discover (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA) now at Mr. Cooper, Coppell, TX

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar in Denver, Colorado on July 6 & 7, 2018 which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA - Advisor Meetings – April 12-14, 2018 – Expenses incurred under \$1,000.00.

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – “The Law & Economics of Criminal Justice Reform” on October 21-23, 2018 which paid for tuition, travel, hotel room and meals all under \$1,000.00

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – “Short Course on Economics for Judges and Workshop on Labor Markets and Employment Law” on November 7-15, 2018 which paid for tuition, travel, hotel room and meals all under \$1,100.00.

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000, Chicago, IL 60603 - July 20 & 21, 2018 – paid for tuition, travel, hotel room and meals all under \$500.00.

The National Judicial College in Reno, Nevada – Nothing in 2018.

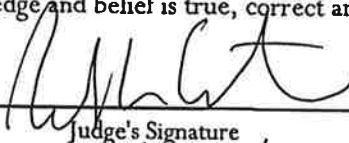
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

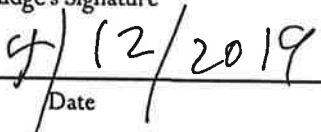
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 16 2019

**SUPREME COURT
CLERK**

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 20 2018

**CLERK
SUPREME COURT**

**STATEMENT OF
ROBERT L. CARTER**

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

I own no stock except for deferred income plan at work.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Tyson Foods acquired Hillshire brands in August 2014, sold all shares in merger.
- P. I receive a Veteran's Administration check every month for a service related disability.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER

INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CNTY KY SCH DIST FIN CORP SCH BLDG REV B/E CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YW4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A B/E AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 830461AL2	20,000
5,000 sold 12/1/15	
SUBJECT TO ALTERNATIVE MINIMUM TAX	

VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV B/E CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 82812UB98	20,000
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Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 05/05/15 DTD 05/05/13 FC 12/05/13 CUSIP 284671PW4	20,000
sold 7/1/15	
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Ltr &cd TA Ltr &cds

Southern Natl Ltr &cd 25,000

Southwestern Natl Ltr &cd 20,000

400 MARKET Vectors Hgt & incld MLP/YMLP
66 CDE Global Inc, African Gates
28 Chemours Co, Wilmington, DE

Also cash deposits w/ Bank

DESCRIPTION ATTACHMENT #2

Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ACCIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	89
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY DO	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS CO HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Yield to Maturity

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

Discover (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA) now at Mr. Cooper, Coppell, TX

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired.)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar in Boston, Massachusetts on July 21, 22 & 23, 2017 which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA - Advisor Meetings – March 29-31, 2017 – Expenses incurred under \$1,100.00.

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – Nothing in 2017.

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000, Chicago, IL 60603 - July 14 & 15, 2017 – paid for tuition, travel, hotel room and meals all under \$500.00.

The National Judicial College in Reno, Nevada – I was on the faculty of the National Judicial College – Nothing in 2017.

ASTAR The Advanced Science and Technology Adjudication Resource Center, Washington, DC – Nothing in 2017.

Illinois Family Violence Coordinating Council – Nothing in 2017.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.

Mr. G. T.
Judge's Signature
4/18/2018
Date

FILED

APR 20 2018

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

Statement Required of Members of the
Judiciary of the State of Illinois

COPY

RECEIVED

APR 20 2017

CLERK
SUPREME COURT

STATEMENT OF
ROBERT L. CARTER

(Please type/print your name)

1. **My current economic interests and the current economic interests of my immediate family**
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date
(within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1).

2. **My former economic interests and the former economic interests of my immediate family**
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

I own no stock except for deferred income plan at work.

Hillshire Brands acquired by Tyson Foods in August, 2014 - all shares sold.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium [REDACTED] [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Tyson Foods acquired Hillshire brands in August 2014, sold all shares in merger.
- P. I receive a Veteran's Administration check every month for a service related disability.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER

INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CNTY KY SCH DIST FIN CORP SCH BLDG REV BVE CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YW4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A BVE AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 880461AL2	26,000
---	--------

SUBJECT TO ALTERNATIVE MINIMUM TAX
5,000 sold 12/1/15

VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV BVE CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 92812UB98	20,000
--	--------

Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 06/05/15 DTD 06/05/13 FC 12/05/13 CUSIP 264671PW4	20,000
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Ltr &cd TA Ltrcdctes

Sengawon Cat, 11/11/12. Bid \$86 adx 29,000

Southwestern K Dev Auth Flt ctd 20,000

400 MARKET Vectors Hgt & income MLP/YMLP
66 CDR Global K, Hoffman G states
28 Chemours Co, Wilmington, DE, CC

Also cash deposits w/ Bank

ATTACHMENT #2

Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ALLIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	99
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY DO	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED ET CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS CO HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Yieldbook High Income MLP & YMLP

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card
(revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at
Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar on July 22, 23 & 24, 2016 which paid for tuition, travel expenses and meals all under \$1,100.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA - Advisor Meetings – April 7 to April 9, 2016 – Expenses incurred under \$1,000.00.

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – JEP – attend Judicial Seminar – May 22 to May 24, 2016, Arlington, Virginia – meals & expenses under \$1,000.00.

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000, Chicago, IL 60603 - July 15 & 16, 2016 – paid for tuition, travel, hotel room and meals all under \$500.00.

The National Judicial College in Reno, Nevada – I was on the faculty of the National Judicial College – Nothing in 2016.

ASTAR The Advanced Science and Technology Adjudication Resource Center, Washington, DC – Nothing in 2016.

Illinois Family Violence Coordinating Council – Nothing in 2016.

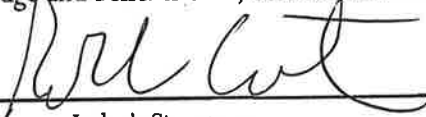
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)


None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 20 2017

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

**Statement Required of Members of the
Judiciary of the State of Illinois**

COPY

RECEIVED

APR 21 2016

**STATEMENT OF
ROBERT L. CARTER**

CLERK
SUPREME COURT

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

I own no stock except for deferred income plan at work.

Hillshire Brands acquired by Tyson Foods in August, 2014 - all shares sold.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium [REDACTED], [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. Deferred income plan at work with stocks, etc.
- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Tyson Foods acquired Hillshire brands in August 2014, sold all shares in merger.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER

INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY

For Nancy R. Carter

Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CNTY KY SCH DIST FIN CORP SCH BLDG REV B/E CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YV4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A B/E AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 880461AL2	20,000
5,000 sold 12/1/15	
SUBJECT TO ALTERNATIVE MINIMUM TAX	
VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV B/E CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 82812UB96	20,000

Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 06/05/15 DTD 06/05/13 FC 12/05/13 CUSIP 284671PV4	20,000
sold 7/1/15	
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Life & Acc Life Insurance

Southern Nat'l Life Ins Co 25,000

Southwestern Life Ins Co 20,000

400 MARKET VECTORS Hgt Et Income MLP/YMLP
66 CDR Global Inc, African Estates
28 Chemours Co, Wilmington, DE, CC

Also cash deposits w/ Bank

DESCRIPTION ATTACHMENT #2
Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ACCIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	99
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY DD	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED ET CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS CO HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Yield to Maturity 10/1/15

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired.)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar on July 10-14, 2015 in Montreal, Canada, which paid for tuition, travel expenses and meals all under \$1,100.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA - Advisor Meetings – nothing in 2015.

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – JEP – to attend judicial seminars on Law & Economics on October 4-6, 2015 in San Francisco, California; April 22-25, 2015 in Virginia; and May 31-June 2, 2015 in Virginia which paid for tuition, travel and meals all under \$2,000 as well as paid for hotel rooms.

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000, Chicago, IL 60603 - July 17 & 18, 2015 – paid for tuition, travel, hotel room and meals all under \$500.00.

The National Judicial College in Reno, Nevada – I was on the faculty of the National Judicial College – Nothing in 2015.

ASTAR The Advanced Science and Technology Adjudication Resource Center, Washington, DC – Nothing in 2015.

Illinois Family Violence Coordinating Council – Nothing in 2015.

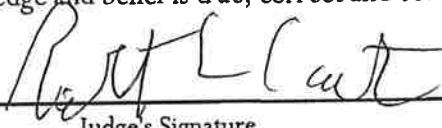
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

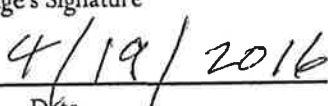
(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature


Date

FILED

APR 21 2016

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 14 2015

CLERK
SUPREME COURT

**STATEMENT OF
ROBERT L. CARTER**

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.
There were a variety of transactions in Nancy's Wayne Hummer Accounts.
I own no stock except for deferred income plan at work.
Hillshire Brands acquired by Tyson Foods in August, 2014 - all shares sold.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium at [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
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- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Tyson Foods acquired Hillshire brands in August 2014, sold all shares in merger.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER
INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY
For Nancy R. Carter
Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CNTY KY SCH DIST FIN CORP SCH BLDG REV BIE CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YW4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A BIE AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 880461AL2 SUBJECT TO ALTERNATIVE MINIMUM TAX	25,000
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VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV BIE CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 92812UB98	20,000
--	--------

Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 06/05/15 DTD 06/05/13 FC 12/05/13 CUSIP 254671PW4	20,000
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

Protection Ltr. Sec TA Ltr. Certs
Saugamon Cnty, IL 11/1/01 186 notes

DESCRIPTION ATTACHMENT #2

Cash

INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ALLIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	99
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY DD	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED ET CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS CO HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300

PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

Yorkville High Income MLP ETF

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

ANSWER TO QUESTION #8:

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar on July 25, 26 & 27, 2014, which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA - Advisor Meetings – nothing in 2014.

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – JEP – to attend judicial seminars on Law & Economics on October 5-6, 2014 in Virginia and December 10-15, 2014 in Duck Key Florida which paid for tuition, travel and meals all under \$1,000 as well as paid for hotel rooms.

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000, Chicago, IL 60603 - July 18 & 19, 2014 – paid for tuition, travel, hotel room and meals all under \$500.00.

The National Judicial College in Reno, Nevada – I was on the faculty of the National Judicial College – Nothing in 2014.

ASTAR The Advanced Science and Technology Adjudication Resource Center, Washington, DC – Nothing in 2014.

Illinois Family Violence Coordinating Council – Nothing in 2014.

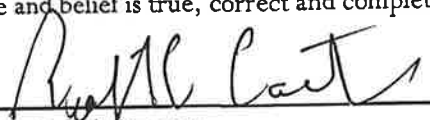
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

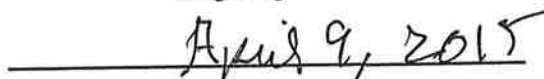
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 14 2015

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 14 2014

STATEMENT OF

SUPREME COURT CLERK

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.
There were a variety of transactions in Nancy's Wayne Hummer Accounts.
Oak Leaf acquired DE Master Blenders in 2013 per Merger Protocol and all
of Nancy and my shares were sold.
I gave Mary Carter 50 shares of Hillshire Brands.
I own no stock except for deferred income plan at work.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, First National Bank of Ottawa, Ottawa, Illinois.
- E. Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife had a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, Illinois and wife and children have other accounts at First National Bank, Ottawa, Illinois and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. Owned 50 shares in Hillshire Brand given to Mary Carter. DE Master Blenders sold to another company. I own no stock at present except for deferred income plan at work.
- N. Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Oak Leaf acquired DE Master Blenders in 2013 per Merger Protocol and all shares sold.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

WAYNE HUMMER
INVESTMENTS
A WINTRUST WEALTH MANAGEMENT COMPANY
For Nancy R. CARTER
Municipal Bonds

DESCRIPTION	QUANTITY
PIKE CNTY KY SCH DIST FIN CORP SCH BLDG REV B/E CPN 2.500% DUE 11/01/18 DTD 11/01/11 FC 05/01/12 Moody AA3 CUSIP 721174YW4	15,000

TENNESSEE HSG DEV AGY RES FIN PG REV AMT ISSUE 1A B/E AMT CPN 2.150% DUE 01/01/19 DTD 05/30/13 FC 07/01/13 Moody AA1, S&P AA+ CUSIP 880461AL2	25,000
SUBJECT TO ALTERNATIVE MINIMUM TAX	

VIRGINIA ST HSG DEV AUTH COMWLTH MTG SER A REV B/E CPN 1.650% DUE 01/01/20 DTD 12/20/12 FC 07/01/13 Moody AAA, S&P AAA CUSIP 92812UB98	20,000
--	--------

Certificates of Deposit

Long-term certificates of deposit

DESCRIPTION	QUANTITY
DISCOVER BANK CD GREENWOOD DE ACT/365 FDIC INSURED CPN 0.500% DUE 06/05/15 DTD 06/05/13 FC 12/05/13 CUSIP 254671PW4	20,000
GE CAPITAL BANK CD SALT LK CITY UT ACT/365 FDIC INSURED- CPN 1.200% DUE 11/30/17 DTD 11/30/12 FC 05/30/13 CUSIP 36160W4J5	25,000

DESCRIPTION ATTACHMENT #2
Cash
INSURED BANK DEPOSITS

DESCRIPTION	QUANTITY
ALLIANT ENERGY CORP LNT	150
ALLSTATE CORP ALL	300
AT & T INC T	99
AUTOMATIC DATA PROCESSING ADP	200
CAMDEN PROPERTY TRUST REIT SBI CPT	391
COMERICA INC CMA	100
DTE ENERGY CO DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY DD	142
EMERSON ELECTRIC CO EMR	200
EXCHANGE TRADED ET CONCEPTS TR-YORKVILLE HIGH INCOME MLP YMLP	400
EXXON MOBIL CORP XOM	398
FIFTH STREET FINANCE CORP FSC	700
GRAINGER W W INC GWW	200
HILLSHIRE BRANDS CO HSH	924
HONEYWELL INTERNATIONAL INC HON	163
JOHNSON & JOHNSON JNJ	100
JPMORGAN CHASE & CO JPM	698
MERCK & CO INC NEW MRK	100
NEWELL RUBBERMAID INC NWL	300
OWENS & MINOR INC NEW OMI	300
PROCTER & GAMBLE CO PG	200
RPM INTERNATIONAL INC RPM	400
SNAP-ON INC SNA	200
SPDR S&P 500 TRUST ET SPY	200
TEVA PHARMACEUTICAL ADR INDS LTD TEVA	200
UDR INC UDR	540
XCEL ENERGY INC XEL	300
3M CO MMM	206

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card
(revolving charge)

GM car loan

Category B - (Greater than \$5000 but not more than \$15,000)

Home mortgage at Ottawa Federal Savings & Loan, Ottawa, Illinois.

Category C - (Greater than \$15,000 but not more than \$50,000)

None.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be
at Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship and Social Security.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar on July 18 & 19, 2013, which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

American Law Institute, Philadelphia, PA attended Advisor Meeting which paid for travel, meals and hotel for a total of \$1,124.25

Reimbursed by the AOIC for mileage, hotel and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive,
Arlington, VA 22001-4498 Nothing in 2013

National Foundation for Judicial Excellence Symposium, 55 West Monroe Street, Suite 2000,
Chicago, IL 60603 Nothing in 2013

The National Judicial College in Reno, Nevada – I was on the faculty of the National
Judicial College. Nothing in 2013

ASTAR The Advanced Science and Technology Adjudication Resource Center,
Washington, DC Nothing in 2013

Illinois Family Violence Coordinating Council Nothing in 2013

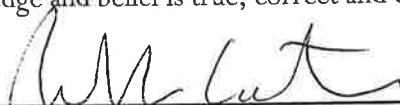
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

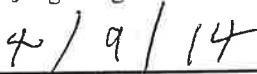
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 14 2014

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

RECEIVED

Statement Required of Members of the
Judiciary of the State of Illinois

APR 15-2013

SUPREME COURT CLERK

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts (redemptions).

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty - [REDACTED] Illinois residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock. (In the last year, Sara Lee did a stock spin-off and part of the shares became DE Master Blenders 1753 NV. The other spin-off was into Hillshire Brands).
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund - I.D.S. Class A).

NANCY R CARTER

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferred/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SER	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT EL DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	200
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRANGER W W INC	GWV	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	4,623
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

** (see below)

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO COM PFD SER G CALLABLE 7/15/03	APG	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/04 DTD 02/06/04 FC 02/17/04 Moody A3, S&P A+ CUSIP 222003AD4	\$5,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.000% DUE 12/15/11 DTD 12/23/04 FC 02/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000

Total Corporate Bonds

Protective Life Sec'd TR Internotes 25,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 6.125% DUE 10/15/08 DTD 10/13/04 FC 04/15/09 Moody AAA CUSIP 31342UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 02/11/10 DTD 02/13/04 FC 02/11/04 CALL 02/11/05 @ 100.000 CUSIP 313654637	25,000

Fel Natl Mtg Assn Notes 27,000

Apt Investment 9.375% and 9.375%

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
\$0.00

**In the last year, Sara Lee did a stock spin-off and part of the shares became DE Master Blenders 1753 NV. The other spin-off was into Hillshire Brands.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER

ANSWER TO QUESTION #8:

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend a judicial seminar on July 27-28, 2012, which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

Reimbursed by the AOIC, Illinois Family Violence Coordinating Council & the Illinois Judges Association for mileage and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – JEP – to attend judicial seminars on April 21-24, 2012 and November 11-13, 2012 awarded scholarships to attend which paid for tuition, travel and expenses all under \$550 and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed for mileage and meals for attendance at the 2012 National Foundation for Judicial Excellence Symposium, July 13-14, 2012 – reimbursement under \$200.00.

The National Judicial College in Reno, Nevada – I was on the faculty of the National Judicial College for the Leadership seminar on September 9-14, 2012 which paid for travel expenses and meals all under \$1,000.00 as well as paid for hotel rooms.

Reimbursed for mileage and meals by ASTAR for attendance at the seminar on Neurobiology on October 11-12, 2012 – reimbursement under \$200.00

- 3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:**

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Home mortgage at Ottawa Federal Savings & Loan, Ottawa, Illinois.

Category C - (Greater than \$15,000 but not more than \$50,000)

GMAC Car loan

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

- 4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.**

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 15 2013

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 19 2012

CLERK
SUPREME COURT

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts (redemptions).

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] Illinois residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).

NANCY R CARTER

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferreds/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	89
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT GBI	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT EL DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	200
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWV	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	4,623
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO COM PFD SER G CALLABLE 7/15/03	APVQ	400
Total Preferreds/Fixed Rate Cap Securities		

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 4.300% DUE 12/17/03 DTD 02/05/04 FC 08/17/04 Moody A3, S&P A+ CUSIP 322003A04	35,000 <i>(Greenland)</i> <i>Franklin Bk 39,000</i>
PRUDENTIAL FINANCIAL INC INTEREST NOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AERT	30,000
Total Corporate Bonds	
Protective Life Sec'd IR Internotes	25,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/03 FC 04/15/09 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

7cl Nedl Mtg Assn Notes 23,000

Apt Investment 9.375% and 9.375%

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware; VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Home mortgage at Ottawa Federal Savings & Loan, Ottawa, Illinois.

Category C - (Greater than \$15,000 but not more than \$50,000)

GMAC Car loan

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Past Board of Director and Past President of Illinois Judges Association
(A non-profit entity, no compensation paid).

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend The Jury Implosion in New York on July 8-10, 2011, which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

Northwestern Law Judicial Education Program, 375 E. Chicago Avenue, Chicago, IL 60611, awarded scholarships to attend the Civil Justice Symposium in Chicago on March 27-29, 2011 which paid for tuition, travel expenses and meals all under \$500 and provided meals at the Conference as well as paid for hotel rooms.

Reimbursed by the AOIC, Illinois Family Violence Coordinating Council & the Illinois Judges Association for mileage and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 – JEP Advanced Institute on Environmental Economics, January 22-26, 2011 in Tucson, Arizona; EconFest for Judges!, October 30-November 3, 2011 in Washington, D.C.; Civil Issues, November 13-15, 2011 in Washington, D.C., awarded scholarships to attend which paid for tuition, travel and expenses and allowed reimbursement up to \$600 and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed for mileage and meals for attendance at the 2011 National Foundation for Judicial Excellence Symposium, July 15-16, 2011 – reimbursement under \$200.00.

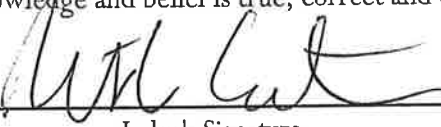
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 19 2012

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

COPY

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APR 14 2011

CLERK
SUPREME COURT

**Statement Required of Members of the
Judiciary of the State of Illinois**

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts (redemptions).

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty — [REDACTED], Illinois residence and condominium [REDACTED] and personal property of residence and condominium including furniture, furnishings, and 3 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Safffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund — I.D.S. Class A).

NANCY R CARTER

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferreds/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT EL DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	100
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWV	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	5,623
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TBS	639
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	208

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	APV	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody A3, S&P A+ CUSIP 523033AQ4	35,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/98 FC 04/15/99 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

Fel Nodl Mtg Assn Notes 23,000

Apt Investment 9.375% and 9.375%

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

GMAC car loan

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois and retired).

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member - Board of Directors, Illinois Judges Foundation and Past President of Illinois Judges Association (A non-profit entity, no compensation paid). My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid).

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend the 2010 Forum for State Appellate Court Judges in Vancouver, Canada on July 7-12, 2010, which paid for tuition, travel expenses and meals all under \$1,000.00 and provided meals at the Conference as well as paid for hotel rooms.

Northwestern Law Judicial Education Program, 375 E. Chicago Avenue, Chicago, IL 60611, awarded scholarships to attend the Civil Law Symposium in Chicago in 2011 which paid for tuition, travel expenses and meals all under \$500 and provided meals at the Conference as well as paid for hotel rooms.

Reimbursed for mileage & meals only for attendance at the 2010 National Judges' Science School (ASTAR) Advanced Science Technology Adjudication Resource Center, Washington, DC as follows: November 12-13, 2010 at John Marshall in Chicago, Illinois; June 13-16, 2010 – Genetics in Washington, DC; July 20-23, 2010 – Genetics of Plants, Maryland; September 23, 2010 – John Marshall, Chicago, Illinois and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed by the AOIC, Illinois Family Violence Coordinating Council & the Illinois Judges Association for mileage and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 - program on Law and Society, April 23-29, 2010, Tucson, Arizona; October 1-3, 2010 – Judicial Education Program Advisory Board, Washington, DC; October 24-27, 2010 – Case Analysis, Fairfax, VA; Awarded scholarships to attend which paid for tuition, travel and expenses and allowed reimbursement up to \$500 and provided meals at the Conferences as well as paid for hotel rooms.

National Center for Justice and Rule of Law, Oxford, Mississippi – Reimbursed for mileage and meals for attendance at the 2010 University of Mississippi Search & Seizure Seminar on March 9, 2010 reimbursement under \$800.00.

Reimbursed for mileage and meals for attendance at the 2010 National Foundation for Judicial Excellence Symposium – Judicial Opinion Writing, July 16-17, 2010 – reimbursement under \$200.00.

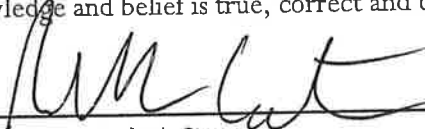
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 14 2011

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

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APR - 7 2010

CLERK
SUPREME COURT

Statement Required of Members of the
Judiciary of the State of Illinois

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts (redemptions & sales) - See 2nd Attachment.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty [REDACTED], Illinois residence and condominium [REDACTED] and personal property of residence of condominium including furniture, furnishings, and 2 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund - I.D.S. Class A).

NANCY R CARTER

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferred/Fixed Rate Cap Secs
Fixed Income Securities

Description
LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT EL DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	200
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRANGER W W INC	GWW	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	290
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	5,623
UDR Inc	UDR	40
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	AYG	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody A3, S&P A+ CUSIP 922903AQ4	25,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/08 FC 04/15/09 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

Fel Nedl Mts Assn Notes 23,000

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
0.00
\$0.00

Am Apartment Invest 9.375% by Wayne
Hummel

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card
(revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

None.

Category F - (Greater than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member - Board of Directors, Illinois Judges Association and Illinois Judges Foundation and Past President (A Non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a Member, Board of Directors of Ottawa Reddick Library (A governmental entity - no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3. (Honoraria to attend a variety of Judicial conferences).

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Roscoe Pound Foundation (formerly the Roscoe Pound Institute/Civil Justice Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend the 2009 Forum for State Appellate Court Judges in San Francisco, California on July 23-28, 2009, which paid for tuition, travel expenses and meals (reimbursed \$182.85) and provided meals at the Conferences as well as paid for hotel rooms.

Northwestern Law Judicial Education Program, 375 E. Chicago Avenue, Chicago, IL 60611 (formerly The AEI-Brookings Joint Center for Regulatory Studies), awarded scholarships which provided tuition, travel expenses and meals to attend as follows: 12/7/08-12/9/08 – Chicago, Illinois – 3rd Annual Civil Justice Judicial Symposium (\$175.56); 3/1/09-3/4/09 – Chicago, Illinois – Advance Law & Economics (\$240.69); 5/3/09-5/5/09 – Chicago, Illinois – Pharmaceutical (\$192.22); 9/20/09-9/23/09 – Chicago, Illinois – Environmental Economics (\$240.50); 12/6/09-12/9/09 – Chicago, Illinois – Civil Justice Issues (\$240.50 – paid 1/15/10); and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed for mileage & meals only for attendance at the 2009 National Judges' Science School (ASTAR) Advanced Science Technology Adjudication Resource Center, Washington, DC as follows: 6/20/09-6/23/09 – Clearwater, Florida – Deployment Initiative Workshop & Utilization Review of the National Resource Judges (\$483.12); 11/12/09-11/13/09 – Chicago, Illinois - ASTAR Judges' Science School on Psychopathology (\$333.60 at seminar, then reimbursed additional \$199.60 – paid 1/10/10); and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed by the AOIC, Illinois Family Violence Coordinating Council & the Illinois Judges Association for mileage and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 program on Foundations of the Constitution, April 17-23, 2009, Tucson, Arizona (\$478.55), awarded scholarships to attend which paid for tuition, travel and expenses and allowed reimbursement up to \$500 and provided meals at the Conferences as well as paid for hotel rooms.

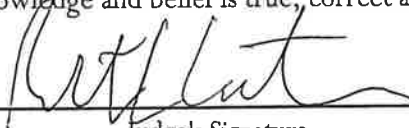
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

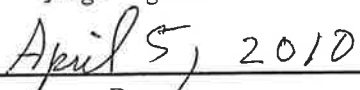
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 7 2010

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

RECEIVED

APR 14 2009

CLERK
SUPREME COURT

**Statement Required of Members of the
Judiciary of the State of Illinois**

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family**
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary & Matthew, are adults and emancipated.

There were a variety of transactions in Nancy's Wayne Hummer Accounts (redemptions)
Franklin Bk. Co, (0 gains)

Fannie Mae Notes FHLMC & Fed. Nat.Mtg. notes total(gain of \$254.60)

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty — [REDACTED] Illinois residence and condominium [REDACTED], [REDACTED] and personal property of residence of condominium including furniture, furnishings, and 4 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund — I.D.S. Class A).

NANCY R CARTER

FILED
INDEX DEPARTMENT

APR 17 2007

IN THE OFFICE OF
SECRETARY OF STATE

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferred/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT EL DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	200
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWW	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	5,623
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	208

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	AVG	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody AA3, S&P A+ CUSIP 829903AQ4	35,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/98 FC 04/15/99 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

Fed Natl Mtg Assn Notes 23,000

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member - Board of Directors, Illinois Judges Association and Illinois Judges Foundation and Past President (A Non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity-no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on attachment #3.

**ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #8:**

ATTACHMENT #3

The Pound Civil Justice Institute (formerly the Roscoe Pound Institute), 777 Sixth Street NW, Suite 200, Washington, DC 20001 awarded a scholarship to attend the 2008 Forum for State Appellate Court Judges in Philadelphia, Pennsylvania on July 11-13, 2008, which paid for tuition, travel expenses and meals all under \$1000.00 (reimbursed \$164.22) and provided meals at the Conferences as well as paid for hotel rooms.

Northwestern Law Judicial Education Program, 375 E. Chicago Avenue, Chicago, IL 60611 (formerly The AEI-Brookings Joint Center for Regulatory Studies), awarded scholarships to attend the Civil Law Symposium in Chicago in 2008 and the Statistics Seminar in 2009 which paid for tuition, travel under \$500 and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed for mileage & meals only for attendance at the 2008 National Judges' Science School (ASTAR) Advanced Science Technology Adjudication Resource Center, Washington, DC as follows: 5/29/08 through 5/31/2008 – Indianapolis, Indiana – Medical School (\$324.92); 10/9/08 through 10/12/08 – Bethesda, Maryland – Seminar on Science/Genetics (\$473.86), and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed by the AOIC, Illinois Family Violence Coordinating Council & the Illinois Judges Association for mileage and meals for attendance at events.

The George Mason Law & Economics Center, Suite 219, 3301 Fairfax Drive, Arlington, VA 22001-4498 program on Law & Society, April 11-17, 2008, San Diego, California awarded scholarships to attend which paid for tuition, travel and expenses and allowed reimbursement up to \$500 and provided meals at the Conferences as well as paid for hotel rooms.


9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

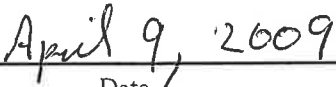
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 14 2009

SUPREME COURT
CLERK

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 10 2008

CLERK
SUPREME COURT

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date
(within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1).

- 2. My former economic interests and the former economic interests of my immediate family**
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

There were a variety of transactions in Nancy's Wayne Hummer Accounts.
My children, Mary & Matthew, are adults and emancipated.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – [REDACTED] residence and condominium at [REDACTED] and personal property of residence of condominium including furniture, furnishings, and 4 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares of common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).

NANCY R CARTER

FILED
INDEX DEPARTMENT

APR 17 2007

IN THE OFFICE OF
SECRETARY OF STATE

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferreds/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	AOP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
DTE ENERGY CO	DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	100
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWW	200
HONEYWELL INTERNATIONAL INC	HON	163
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	5,623
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1 4207

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	AIYG	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody AA3, S&P A+ CUSIP 929903AQ4	35,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/98 FC 04/15/99 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN
171 FOREST PARK RD
OTTAWA IL 61350-1138

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None.

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

Category E - (Greater than \$100,000 but not more than \$250,000)

Condominium mortgage at Citi Mortgage, Inc., The Lakes, NV (used to be at Countrywide, CA)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member - Board of Directors, Illinois Judges Association and Past President
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity -- no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing else to report except what is on ~~the~~ attachment #3).

The Roscoe Pound Institute, 1050 Thirty-first Street NW, Washington, DC 20007 awarded a scholarship to attend the 2007 Forum for State Appellate Court Judges in Chicago, IL which paid for tuition, travel expenses and meals all under \$1000.00

The AEI-Brookings Joint Center for Regulatory Studies, 3943 Irvine Boulevard, #286, Irvine, CA 92602 awarded scholarships to attend "Economic Institute for Judges" on 5/14/07 through 5/18/07 through 4/16/07 through 4/30/07; "Scientific Evidence" on 6/20/07 through 6/22/07, both held in Washington, DC; and "Second Annual Judicial Symposium on Civil Justice Issues" on 11/11/07 through 11/13/07 held in Chicago, IL; which paid for tuition, travel under \$500 and provided meals at the Conferences as well as paid for hotel rooms.

Reimbursed for mileage & meals only for attendance at the 2007 National Judges' Science School (ASTAR) as follows: 6/27/07 through 6/29/2007 - Columbus, Ohio - "National Judges Science School Boot Camp" (\$288); 10/4/07 through 10/7/07 - Baltimore, Maryland - "National Judges' Science School" (\$515.60).

Reimbursed by the AOIC for mileage and meals for attendance at events.

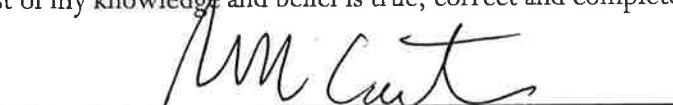
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

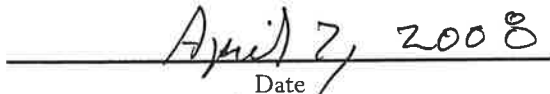
None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature



Date

FILED

APR 10 2008

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 16 2007

SUPREME COURT CLERK

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

There were a variety of transactions in Nancy's Wayne Hummer Accounts.
My children, Mary & Matthew, are adults and emancipated.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – Residence located [REDACTED] and personal property of residence including furniture, furnishings, and 4 autos.
- B. My wife, my children (who are adults and emancipated) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State & County pensions and Social Security.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. In Sara Lee Corp. I presently own 250 shares and I own 31.25 in Hanesbrands common stock.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).

NANCY R CARTER
TOD ACCOUNT

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option*
Stocks and Options
Preferreds/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

deposits

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
ARCHSTONE-SMITH TR	ASN	300
AT & T INC	T	99
AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
CORNING INC	GLW	200
DTE ENERGY CO	DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	200
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWV	200
HONEYWELL INTERNATIONAL INC	HON	163
INTERNATIONAL PAPER CO	IP	204
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	7,278
SERVICEMASTER COMPANY	SVM	500
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

Homesbonds
Common Stock

HBI

BIS

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	AIVG	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody AA3, S&P A+ CUSIP 929903AD4	35,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/98 FC 04/15/99 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, Delaware, VISA (revolving charge)

Bank of America Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card, Shell Credit Card & Citibank Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (autos, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

Category D - (Greater than \$50,000 but not more than \$100,000)

None.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. She has a State & County pension and Social Security.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

None.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity - no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing to report.

The Roscoe Pound Institute, 1050 Thirty-first Street NW, Washington, DC 20007 awarded a scholarship to attend the 2006 Forum for State Appellate Court Judges in Seattle, WA which paid for tuition, travel expenses and meals all under \$1000.00

The AEI-Brookings Joint Center for Regulatory Studies, 3943 Irvine Boulevard, #286 Irvine, CA 92602 awarded scholarships to attend "Critical Issues in Construction Defects Litigation" on 3/30/06 & 3/31/06; "Insurance & Risk Allocation in America; Economics, Law & Regulation" on 9/20/06-9/22/06; and "First Annual Judicial Symposium on Civil Justice Issues," on 12/6/06-12/8/06; all held in Washington, DC; which paid for tuition, travel under \$500 and provided meals at the Conferences as well as paid for hotel rooms.

American Judicature Society, 2700 University Ave., Des Moines, IA 50311 awarded a travel stipend in the amount of \$350 for AJS Midwest Regional Conference on Pro Se Litigation held on 9/7/06-9/9/06.

Reimbursed by the AOIC for mileage and meals only for attendance at the 2006 National Judges Science School held at John Marshall Law School on 10/5/06-10/8/06.

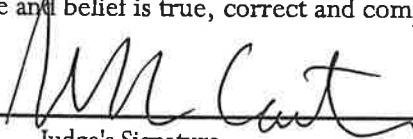
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/9/07

Date

FILED

APR 16 2007

**SUPREME COURT
CLERK**

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED

APR 17 2006

SUPREME COURT CLERK

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 2 attached sheets for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

There were a variety of transactions in Nancy's Wayne Hummer Accounts. My son and daughter have taken or sold assets from Hummer accounts for college and graduate/professional schools. Both children are adults.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – Residence [REDACTED] and personal property of residence including furniture, furnishings, and 4 autos.
- B. My wife, my children (who are adults) and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list).
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. I owned 430 shares of common stock in Sara Lee Corp., but presently own 250 shares.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).
- O. Mary has interest in Price, Waterhouse Coopers 401K plan and 401K at Deloitte Consulting LLP.
- P. Matthew worked for Bell, Boyd & Lloyd in Chicago as summer intern in 2005 and he has Roth IRA. Matthew has worked as a teaching assistant for undergraduates for one of his law professors – no salary.

NANCY R CARTER
TOD ACCOUNT

2nd Attachment to Statement
of Robert L. CARTER
Answer to Question #1

Portfolio Assets

Cash and Money Market Funds
Bank Deposit Sweep Option
Stocks and Options
Preferreds/Fixed Rate Cap Secs
Fixed Income Securities

Description

LIBERTYVILLE BANK AND TRUST
CRYSTAL LAKE BANK AND TRUST

deposits

Stocks and Options

Stocks

Description	Symbol	Quantity
ALLSTATE CORP	ALL	300
ARCHSTONE-SMITH TR	ASN	300
AT & T INC	T	99

AUTOMATIC DATA PROCESSING	ADP	200
CAMDEN PROPERTY TRUST REIT SBI	CPT	391
COMERICA INC	CMA	100
CORNING INC	GLW	200
DTE ENERGY CO	DTE	200
DU PONT E.I. DE NEMOURS AND COMPANY	DD	142
EMERSON ELECTRIC CO	EMR	100
EXXON MOBIL CORP	XOM	398
GENERAL ELECTRIC COMPANY	GE	200
GRAINGER W W INC	GWV	200
HONEYWELL INTERNATIONAL INC	HON	163
INTERNATIONAL PAPER CO	IP	204
JOHNSON & JOHNSON	JNJ	100
JPMORGAN CHASE & CO	JPM	698
LUCENT TECHNOLOGIES INC	LU	198
MERCK & CO INC	MRK	100
NEWELL RUBBERMAID INC	NWL	300
OWENS & MINOR INC NEW	OMI	200
PROCTER & GAMBLE CO	PG	200
RPM INTERNATIONAL INC	RPM	400
SARA LEE CORP	SLE	7,873
SERVICEMASTER COMPANY	SVM	500
SNAP-ON INC	SNA	200
SPDR TR UNIT SER 1	SPY	200
THOMAS & BETTS CORP	TNB	300
UNITED DOMINION RLTY REIT TR INC	UDR	500
XCEL ENERGY INC	XEL	300
3M CO	MMM	206

Preferreds/Fixed Rate Cap Securities

Description	Symbol	Quantity
APARTMENT INVEST 9.375% REIT & MGMT CO CUM PFD SER G CALLABLE 7/15/08	AIV'G	400

Total Preferreds/Fixed Rate Cap Securities

Fixed Income Securities

Corporate Bonds

Description	Quantity
WACHOVIA CORPORATION SENIOR NOTES CPN 3.625% DUE 02/17/09 DTD 02/06/04 FC 08/17/04 Moody AA3, S&P A+ CUSIP 929903AD4	35,000
PRUDENTIAL FINANCIAL INC INTERNOTES SEMI ANNUAL PAY CPN 4.300% DUE 12/15/11 DTD 12/23/04 FC 06/15/05 Moody A3, S&P A CUSIP 74432AER7	30,000
Total Corporate Bonds	65,000

Government Bonds

Description	Quantity
FNMA GLOBAL NOTES BENCHMARK NOTES NON-CALLABLE CPN 5.000% DUE 01/15/07 DTD 12/24/01 FC 07/15/02 Moody AAA CUSIP 31359MLZ4	25,000
FEDERAL HOME LOAN MTG NOTES CPN 5.125% DUE 10/15/08 DTD 10/13/98 FC 04/15/99 Moody AAA CUSIP 3134A2UJ5	35,000
FANNIE MAE INVESTMENT NOTES SEMI ANNUAL PAY - CALLABLE CPN 4.000% DUE 08/11/10 DTD 02/13/04 FC 08/11/04 CALL 02/11/05 @ 100.000 CUSIP 3136F46X7	25,000

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
FCC AS CUSTODIAN

Current portfolio value
0.00
\$0.00

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, formerly Bank One and First Card, VISA, Illinois (revolving charge)

MBNA Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (autos, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

None

Category D - (Greater than \$50,000 but not more than \$100,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

Matthew, adult son, is in 3rd year of law school.

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My daughter works for Deloitte Consulting LLP.

My son worked for Chief Judge Michael P. McCuskey in the summer of 2004.

(Legal extern - no salary) and at Bell, Boyd & Lloyd, LLC, Chicago law firm, summer of 2005.

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

As a judge, I was a party to the class action lawsuit filed by Ann Jorgensen and Stuart Nudelman regarding COLA increase for judges. Case #03-CH-12419 - ANN B. JORGENSEN and STUART A. NUDELMAN, individually and on behalf of all Illinois Judges, vs. ROD R. BLAGOJEVICH, Governor of the State of Illinois, and DANIEL W. HYNES, Comptroller of the State of Illinois - lawsuit has ended.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President

(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000; and was Trustee of Matthew's accounts with Wayne Hummer in the past.

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity - no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing to report.

The Roscoe Pound Institute, 1050 Thirty-first Street NW, Washington, DC 20007 awarded a scholarship to attend the 2004 Forum for State Appellate Judges in Boston, Massachusetts which paid for tuition, travel expenses and meals all under \$1,000.00.

The AEI-Brookings Joint Center for Regulatory Studies, 3943 Irvine Boulevard, #286, Irvine, CA 92602 awarded a scholarship to attend the 2nd Annual Judicial Symposium on Construction Defects Litigation in Washington, DC on March 30 and 31, 2006 which paid for tuition, travel up to \$300.00 and provided meals at the Conference as well as paid for hotel room.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/13/05

Date

FILED

APR 17 2006

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)

COPY

APR 15 2005

CLERK
SUPREME COURT

Statement Required of Members of the Judiciary of the State of Illinois

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See 3 attached sheets for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

There were a variety of transactions in Nancy's Wayne Hummer Accounts. My son and daughter have taken or sold assets from Hummer accounts for college and graduate/professional schools.

1ST ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – Residence located [REDACTED] Illinois and personal property of residence including furniture, furnishings and 4 autos.
- B. My wife, my children and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list). Solomon Smith Barney account with stock, etc. Account transferred to Hummer 1/22/02.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. I now own 430 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).

NANCY R CARTER TOD
MARY P CARTER &
MATTHEW R CARTER

2ND ATTACHMENT, TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1

ACCESSAccount

NANCY R CARTER

BROKERAGE ACCOUNT SECURITY POSITIONS

Description	Quantity	
Cash & Money Market Funds		
Cash, Money Mkt, & FDIC Insd Ba		
Equity Investments		
Domestic Industrial Common Stocks		
3M Company	206	
Allstate Corp	300	
Ex Dividend		
Automatic Data Processing Inc	200	
Ex Dividend		
Comerica Bank	100	
Ex Dividend		
Corning Inc	200	
Dupont (E.I.) De Nemours	142	
Emerson Electric Co	100	
Exxon Mobil	398	
General Electric Co	200	
Ex Dividend		
General Motors Corp	91	
Grainger W W Inc	200	
Honeywell International Inc	163	
International Paper Co	204	
J P Morgan Chase & Co	698	
Johnson & Johnson	100	
Lucent Technologies Inc	198	
Medco Health Solutions Inc	12	
Merck & Co Inc	100	
Ex Dividend		
Equity Investments		
Domestic Industrial Common Stocks		
Newell Rubbermaid Inc	300	
Nortel Networks Corp (Canada)	400	
Procter & Gamble Co	200	
RPM Inc Ohio	400	
Sara Lee Corp	7,873	
Ex Dividend		
SBC Communications Inc	99	
Servicemaster Co	500	
Snap-On Inc	200	
Standard & Poors Depository Recei	200	
Ex Dividend		
Thomas & Betts Corp	300	
Domestic Utility Common Stocks		
DTE Energy Co	200	
Ex Dividend		
Xcel Energy Inc	300	
Ex Dividend		
Equity Investments		
Real Estate Investment Trusts		
Archstone-Smith Tr	300	
Camden Properties Trust Sh Ben IN	200	
Ex Dividend		
Gables Residential Tr Sh Ben Int	300	
Summit Properties Inc	300	
Ex Dividend		
United Dominion Realty	500	
Fixed Income Investments		
Preferred stock		
Apt Inv & Mgmt Pfd Ser G 9.375%	400	
Federal Agency Issues Exempt from State Income Tax		
FHLB	25,000	
03/06/2006 5.125%		
Accrued Interest		
Federal Agency Issues Subject to State Income Tax		
FNMA	25,000	
01/15/2007 5.00%		
Accrued Interest		
Fixed Income Investments		
Corporate Fixed Income Obligations		
Prudential Financial Inc Internotes	30,000	
12/15/2011 4.30%		
Accrued Interest		
Fixed Income Investments		
Federal Agency Issues Subject to State Income Tax		
FHLMC	35,000	
10/15/2008 5.125%		
Accrued Interest		
FNMA	25,000	
08/11/2010 4.00%		
Call 02/11/2005, 100.00		
Accrued Interest		
U.S. Government Obligations		
US Treasury Strips	30,000	
08/15/2005 0.00%		
Corporate Fixed Income Obligations		
Wachovia Corp Sr Note	35,000	
02/17/2009 3.625%		
Accrued Interest		

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
WAYNE SUMMER INVSTS LLC CUST
171 FOREST PARK RD
OTTAWA IL 61350-1138

<u>Description</u>	<u>Quantity</u>
--------------------	-----------------

Cash & Money Market Funds
Cash, Money Mkt, & FDIC Insd Ba

Equity Investments
Domestic Industrial Common Stocks
Dupont (E.I.) De Nemours 99
General Motors Corp 73
International Paper Co 101

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Chase, formerly Bank One and First Card, VISA, Illinois (revolving charge)

MBNA Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

Loans at First National Bank of Ottawa, Illinois (autos, etc.)

Category C - (Greater than \$15,000 but not more than \$50,000)

None

Category D - (Greater than \$50,000 but not more than \$100,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL.

My wife was in the past a member of the Board of Directors of Peltier Glass Co., Ottawa, IL. (A for profit organization)

My daughter worked for Deloitte Consulting LLP in summer of 2004.

My son worked for Chief Judge Michael P. McCuskey in the summer of 2004.

(Legal extern - no salary)

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I were sued for an accident involving my wife in May, 1998 in LaSalle County. (I have been dismissed out of the case on June 8, 2000 and the entire case has been dismissed on December 21, 2001).

As a judge, I was a party to the class action lawsuit filed by Ann Jorgensen and Stuart Nudelman regarding COLA increase for judges. Case #03-CH-12419 - ANN B. JORGENSEN and STUART A. NUDELMAN, individually and on behalf of all Illinois Judges, vs. ROD R. BLAGOJEVICH, Governor of the State of Illinois, and DANIEL W. HYNES, Comptroller of the State of Illinois.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000: and was Trustee of Matthew's accounts with Wayne Hummer in the past.

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity - no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

Normal gifts between immediate family members - nothing to report.

The Roscoe Pound Institute, 1050 Thirty-first Street NW; Washington, DC 20007 awarded a scholarship to attend the 2004 Forum for State Appellate Judges in Boston, Massachusetts which paid for tuition, travel expenses and meals all under \$1,000.00.

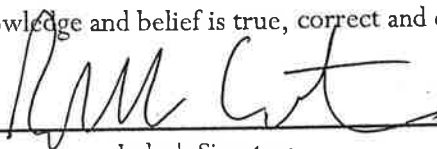
9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/13/05

Date

FILED

APR 15 2005

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED
APR 15 2004
SUPREME COURT CLERK

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family**
(spouse and minor children residing with me) **are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See³ attached sheets for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family**
(spouse and minor children residing with me) **held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My children, Mary, age 25, and Matthew, age 23, received shares of Sara Lee stock valued at under \$10,000.00 from Nancy.

There were a variety of transactions in Nancy's Wayne Hummer Accounts.

My son and daughter have taken or sold assets from Hummer accounts for college and graduate/professional schools.

15⁺ ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION 1:

- A. Realty – Residence [REDACTED] Illinois and personal property of residence including furniture, furnishings, and 4 autos.
- B. My wife, my children and I possess life insurance at work. My wife retired with pension December 31, 2002 from State of Illinois and LaSalle County Municipal from her time as an Assistant State's Attorney.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CDs, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list). Solomon Smith Barney account with stock, etc. Account transferred to Hummer 1/22/02.
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio. Both children are adults and emancipated.
- M. I now own 430 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A (Am. Exp. Mutual Fund – I.D.S. Class A).

2nd Attachment
Answer to
Question #1

NANCY R CARTER TOD
MARY P CARTER &
MATTHEW R CARTER
171 FOREST PARK RD
OTTAWA IL 61350-1138

INSURED BANK DEPOSITS ²

Amount(s) listed below include accrued interest from 12/22/03-01/01/04 at rate of 0.45%.

DESCRIPTION

LIBERTYVILLE BANK & TRUST COMPANY
BARRINGTON BANK & TRUST COMPANY, N.A.

ACCESS Account

NANCY R CARTER

BROKERAGE ACCOUNT SECURITY POSITIONS

STOCKS AND OPTIONS			J P MORGAN CHASE & CO		FIXED INCOME	
39	AT&T CORP	100	JOHNSON & JOHNSON	50,000	FEDERAL HOME LOAN MTG CORP	
	NEW	198	LUCENT TECHNOLOGIES INC		DATED DATE 11/15/01	
300	ALLSTATE CORP	12	MEDCO HEALTH SOLUTIONS INC		BOOK ENTRY ONLY	
300	ARCHSTONE-SMITH TR	100	MERCK & CO INC	30,000	DUE 11/15/2004 3.250% MN 15	
200	AUTOMATIC DATA PROCESSING INC	300	NEWELL RUBBERMAID INC		U S TREAS STRIP TINT 8/15/05	
200	BANK ONE CORP	400	NORTEL NETWORKS CORP		DATED DATE 02/15/85	
200	CAMDEN PROPERTY TRUST-SBI	100	PROCTER & GAMBLE CO	25,000	BOOK ENTRY ONLY	
181	CATERPILLAR INC	400	RPM INTERNATIONAL INC		ORIGINAL ISSUE DISCOUNT	
100	COMERICA INC	200	STANDARD & POORS DEPOSITARY		DUE 08/15/2005	
200	CORNING INC		RECEIPTS (SPDRS) (BOOK ENTRY)	25,000	FEDERAL HOME LOAN BANK	
200	DTE ENERGY CO		UNITS UNDIVIDED BENEFICIAL INT		DATED DATE 03/06/01	
142	E I DU PONT DE NEMOURS & CO	7,873	SARA LEE CORP		BOOK ENTRY ONLY	
144	EASTMAN KODAK CO	500	SERVICEMASTER CO (THE)		DUE 03/06/2006 5.125% MS 06	
100	EMERSON ELECTRIC CO	200	SNAP-ON INC	35,000	FEDERAL NATL MTG ASSN	
398	EXXON MOBIL CORP	300	SUMMIT PROPERTIES INC		DEB	
300	GABLES RESIDENTIAL TRUST-SBI	300	THOMAS & BETTS CORP		DATED DATE 12/20/01	
200	GENERAL ELECTRIC CO	128	3M COMPANY	25,000	BOOK ENTRY ONLY	
91	GENERAL MOTORS CORP	300	XCEL ENERGY INC		DUE 01/15/2007 5.000% JJ 15	
	COM				FEDERAL HOME LOAN MTG CORP	
200	W W GRAINGER INC				DATED DATE 10/13/98	
163	HONEYWELL INTL INC			25,000	BOOK ENTRY ONLY	
204	INTERNATIONAL PAPER CO				DUE 10/15/2008 5.125% AO 15	
					FEDERAL HOME LOAN BANK	
					DATED DATE 05/13/99	
					BOOK ENTRY ONLY	
					DUE 05/26/2009 6.300% MN 26	
					CALL 05/26/04 100.000	
					FEDERAL HOME LOAN MTG CORP	
					STEP UP ANNLY-3.5%,4%,4.5%,5%,	
					5.5%,7%	
					DATED DATE 12/23/02	
					BOOK ENTRY ONLY	
					PAR CALL 12/15/2003	
					DUE 12/15/2009 3.000% JD 15	
PREFERRED STOCKS						
400	APARTMENT INVESTMENT & MGMT CO					
	CUM PFD SER G (B/E) 9 3/8%					

3rd Attachment
Answer to Question #1

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA

WAYNE HUMMER INVSTS LLC CUST
171 FOREST PARK RD
OTTAWA IL 61350-1138

STOCKS AND OPTIONS

81	CATERPILLAR INC
99	E I DU PONT DE NEMOURS & CO
90	EASTMAN KODAK CO
73	GENERAL MOTORS CORP COM
101	INTERNATIONAL PAPER CO
99	SBC COMMUNICATIONS INC
78	3M COMPANY

INSURED BANK DEPOSITS ²

Amount(s) listed below include accrued interest from 12/22/03-01/01/04 at rate of 0.45%

DESCRIPTION

CRYSTAL LAKE BANK & TRUST COMPANY, N.A.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (Less than \$5000)

Bank One, formerly First Card, VISA, Illinois (revolving charge)

MBNA Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None

Category C - (Greater than \$15,000 but not more than \$50,000)

Loans at First National Bank of Ottawa, Illinois (autos, etc.)

Category D - (Greater than \$50,000 but not more than \$100,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois.

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)

5. My offices, directorships, and salaried employments and the offices, directorships and salaried employments of my immediate family (spouse and minor children residing with me) are as follows:

(Here list in accordance with numbered paragraph 5 of the instructions.)

None for myself other than my Judgeship.

My wife became employed in March, 1987 and is now retired (12/31/02) from the State Appellate Prosecutor's Office of the 3rd Appellate District, Ottawa, IL. My wife was in the past a member of the Board of Directors of Peltier Glass Co., Ottawa, IL. (A for profit organization)

6. Pending cases in which I or members of my immediate family (spouse and minor children residing with me) have an interest are as follows:

(Here list pending cases in which you or members of your immediate family are parties in interest, or an economic entity in which you or they have an interest is a party, in accordance with numbered paragraph 6 of the instructions.)

My wife and I were sued for an accident involving my wife in May, 1998 in LaSalle County. (I have been dismissed out of the case on June 8, 2000 and the entire case has been dismissed on December 21, 2001. As a judge, I am party to the class action lawsuit filed by Ann Jorgensen and Stuart Nudelman regarding COLA increase for judges. Case #03-CH-12419 - ANN B. JORGENSEN and STUART A. NUDELMAN, individually and on behalf of all Illinois Judges, vs. ROD R. BLAGOJEVICH, Governor of the State of Illinois, and DANIEL W. HYNES, Comptroller of the State of Illinois.

7. My fiduciary positions, including executorships and directorships, and the fiduciary positions of the members of my immediate family (my spouse and minor children residing with me) are as follows:

(Here list fiduciary positions in accordance with numbered paragraph 7 of the instructions.)

Member, Board of Directors, Illinois Judges Association and Past President
(A non-profit entity, no compensation paid)

My wife is a Member, Board of Directors of the Ottawa Opportunity School and was President. (A non-profit entity, no compensation paid)

My wife was a co-trustee of the Eileen M. Huppert Trust and Estate which was closed at the end of 2000: and was Trustee of Matthew's accounts with Wayne Hummer in the past.

My wife is a Member, Board of Directors of Ottawa Reddick Library (A governmental entity - no compensation paid)

8. The name of the donor of gifts received by me or members of my immediate family (spouse and minor children residing with me) during the year preceding the date of verification, are as follows:

(Here list gifts in accordance with numbered paragraph 8 of the instructions.)

My children received shares of Sara Lee stock valued at under \$10,000.00 from Nancy in 2003.

9. My economic interests and relationships and those of my immediate family (spouse and minor children residing with me), other than those listed in numbered paragraphs 1 to 8 hereof, which could create conflicts of interest for me in my judicial capacity are as follows:

(Here insert any economic interest or relationship which might or could create a substantial conflict of interest.)

None of which I am aware.

VERIFICATION

Pursuant to Supreme Court Rule 68, I declare that this Statement of Economic Interests, including any accompanying schedules and statements, as it relates to me and members of my immediate family, has been examined by me and to the best of my knowledge and belief is true, correct and complete.



Judge's Signature

4/14/04

Date

FILED

APR 15 2004

SUPREME COURT CLERK

(Complete Below - Please Do Not Detach)

COPY

**Statement Required of Members of the
Judiciary of the State of Illinois**

RECEIVED
APR 17 2003
SUPREME COURT CLERK

STATEMENT OF

ROBERT L. CARTER

(Please type/print your name)

- 1. My current economic interests and the current economic interests of my immediate family (spouse and minor children residing with me) are as follows:**

(Here list current economic interests specified in numbered paragraph 1 of the instructions setting forth the date (within 30 days of the date of filing) as of which said interests are being reported.)

(See attached sheets for answer to question 1)

- 2. My former economic interests and the former economic interests of my immediate family (spouse and minor children residing with me) held during the year preceding the date of verification:**

(Here list former economic interests specified in numbered paragraph 2 of the instructions.)

My son, Matthew received shares of Sara Lee stock valued at under \$10,000.00 from me.

ATTACHMENT TO STATEMENT OF ROBERT L. CARTER
ANSWER TO QUESTION #1:

- A. Realty - Resid[REDACTED] Illinois and personal property of residence including furniture, furnishings, and 4 autos.
- B. My wife, my children and I possess life insurance at work. My wife retired with pension December 31, 2002.
- C. My wife has life interest in the Elaine M. Saffield Trust.
- D. Savings Accounts and CD's, 1st National Bank of Ottawa, Ottawa, Illinois.
- E. Savings Accounts, etc., Ottawa Savings Bank, (formerly known as Ottawa Federal and Savings Bank), Ottawa, Illinois.
- F. Tri-County Credit Union Account, or its successor, my wife's individual and personal checking and savings account.
- G. My wife has a 1/8th interest in a farm, 200 acres, in Andalusia, Illinois and 1/8th interest in the farm account; and a 1/7th interest in Rink Farm in Illinois (interest approximately under \$30,000).
- H. My wife has a 15% and each child a 6% interest in the Eileen M. Huppert Trust and Estate, closed at the end of 2000.
- I. My wife has interest, assets and stock at Wayne Hummer Financial Adv. Services Portfolio (see attached list). (Solomon, Smith, Barney account with stock was transferred to Hummer 1/22/02).
- J. Judicial pension and wife's State pension.
- K. Joint checking account at First National Bank of Ottawa, IL and wife and children have other accounts at First National Bank, Ottawa, IL and Ottawa Savings Bank.
- L. Mary and Matthew Carter own stock and assets held by Wayne Hummer Financial Adv. Services Portfolio (see attached lists).
- M. I now own 430 shares of common stock in Sara Lee Corp. at present.
- N. My wife owns 104 shares of First Commonwealth Corp. and 297.199 shares of Mutual Class A. (Am. Exp. Mutual Fund - I.D.S. Class A).
- O. Wife had 1/4 interest in Paul Rink (her father) Estate in Probate in 2001 and estate closed.



A NANCY R CARTER TOD
MARY P CARTER &
MATTHEW A CARTER
WAYNE HUMMER MONEY MARKET FUND

10,866.92

300

STOCKS AND OPTIONS

91

200

39

AT&T CORP
NEW

163

300

ALLSTATE CORP

204

400

APARTMENT INVESTMENT & MGMT CO
CUM PFD SER G (B/E) 9 3/8%

434

300

ARCHSTONE-SMITH TR

198

200

AUTOMATIC DATA PROCESSING INC

100

200

BANK ONE CORP

300

200

CAMDEN PROPERTY TRUST-SBI

400

181

CATERPILLAR INC

100

100

COMERICA INC

400

200

CORNING INC

200

200

DTE ENERGY CO

142

E I DU PONT DE NEMOURS & CO

8,345

144

EASTMAN KODAK CO

500

100

EMERSON ELECTRIC CO

200

398

EXXON MOBIL CORP

300

300

GABLES RESIDENTIAL TRUST-SBI

300

200

GENERAL ELECTRIC CO

64

STOCKS AND OPTIONS

XCEL ENERGY INC

GENERAL MOTORS CORP
COM

25,000

W W GRAINGER INC

HONEYWELL INTL INC

25,000

INTERNATIONAL PAPER CO

J P MORGAN CHASE & CO

JOHNSON & JOHNSON

LUCENT TECHNOLOGIES INC

35,000

MERCK & CO INC

NEWELL RUBBERMAID INC

NORTEL NETWORKS CORP

25,000

PROCTER & GAMBLE CO

RPM INTERNATIONAL INC

STANDARD & POORS DEPOSITARY
RECEIPTS (SPDRS) (BOOK ENTRY)
UNITS UNDIVIDED BENEFICIAL INT

25,000

SARA LEE CORP

SERVICEMASTER CO (THE)

SNAP-ON INC

SUMMIT PROPERTIES INC

THOMAS & BETTS CORP

25,000

3M COMPANY

FIXED INCOME

25,000

FEDERAL NATIONAL MTG ASSN
DATED DATE 11/23/01
BOOK ENTRY ONLY
DUE 11/15/2003 3.125% MN 15

50,000

FEDERAL HOME LOAN MTG CORP
DATED DATE 10/31/01
BOOK ENTRY ONLY
DUE 11/15/2004 3.250% MN 15

30,000

U S TREAS STRIP TINT 8/15/05
DATED DATE 02/15/85
BOOK ENTRY ONLY
ORIGINAL ISSUE DISCOUNT
DUE 08/15/2005

FEDERAL HOME LOAN BANK
DATED DATE 03/01/01
BOOK ENTRY ONLY
DUE 03/06/2006 5.125% MS 06

FEDERAL NATL MTG ASSN
DEB

DATED DATE 12/20/01
BOOK ENTRY ONLY
DUE 01/15/2007 5.000% JJ 15

FEDERAL HOME LOAN MTG CORP
DATED DATE 10/13/98
BOOK ENTRY ONLY
DUE 10/15/2008 5.125% AO 15

FEDERAL HOME LOAN BANK
DATED DATE 05/13/99
BOOK ENTRY ONLY
DUE 05/26/2009 6.300% MN 26
CALL 05/26/04 100.000

FEDERAL HOME LOAN MTG CORP
STEP UP ANNLN-3.5%,4%,4.5%,5%,
5.5%,7%

INTEREST FROM DATE 12/27/02
DATED DATE 12/23/02
FIRST COUPON 06/15/2003
BOOK ENTRY ONLY
DUE 12/15/2009 3.000% JD 15
CALL 12/15/03 100.000

UNITED PARCEL SVC INC
MEDIUM TERM NOTES

DATED DATE 10/22/01
BOOK ENTRY ONLY
DUE 10/15/2011 5.250% AO 15
CALL 10/15/03 100.000

INSURED BANK DEPOSITS

Amounts listed below include interest earned but r.

DESCRIPTION

BARRINGTON BANK & TRUST COMPANY, N.A.



STOCKS AND OPTIONS

81

CATERPILLAR INC

99

E I DU PONT DE NEMOURS & CO

90

EASTMAN KODAK CO

73

GENERAL MOTORS CORP
COM

101

INTERNATIONAL PAPER CO

99

SBC COMMUNICATIONS INC

39

3M COMPANY

B

NANCY R CARTER BENEFICIARY
JEANETTE RINK DECEASED IRA
WAYNE HUMMER INVSTS LLC CUS

INSURED BANK DEPOSITS

NORTH SHORE COMMUNITY BANK & TRUST COMPA



C NANCY R CARTER C/F
MATTHEW R CARTER
UNDER IL UTMA

5,453.91 WAYNE HUMMER MONEY MARKET FUND

STOCKS AND OPTIONS

100	BOEING CO
300	CISCO SYSTEMS INC
100	EXXON MOBIL CORP
100	GENERAL ELECTRIC CO
300	JDS UNIPHASE CORP COM
50	MERCK & CO INC
50	NASDAQ 100 SHARES UNIT SER 1 BOOK ENTRY
200	NORTEL NETWORKS CORP
100	NOVARTIS AG-SPONSORED ADR
200	PACTIV CORP
50	PROCTER & GAMBLE CO
200	STANDARD & POORS DEPOSITARY RECEIPTS (SPDRS) (BOOK ENTRY) UNITS UNDIVIDED BENEFICIAL INT
7,317	SARA LEE CORP
100	TELLABS INC
50	WEBMD CORP
25,000	FEDERAL FARM CREDIT BANK MEDIUM TERM NOTE DATED DATE 12/15/98 BOOK ENTRY ONLY DUE 12/15/2003 5.070% JD 15

INSURED BANK DEPOSITS ²

DESCRIPTION

NORTH SHORE COMMUNITY BANK & TRUST COMPANY



D MARY P CARTER

2,835.05 WAYNE HUMMER MONEY MARKET FUND

STOCKS AND OPTIONS

100	BOEING CO
300	CISCO SYSTEMS INC
100	EXXON MOBIL CORP
100	GENERAL ELECTRIC CO
300	JDS UNIPHASE CORP COM
100	MERCK & CO INC
50	NASDAQ 100 SHARES UNIT SER 1 BOOK ENTRY
200	NORTEL NETWORKS CORP
100	NOVARTIS AG-SPONSORED ADR
200	PACTIV CORP
50	PROCTER & GAMBLE CO
200	STANDARD & POORS DEPOSITARY RECEIPTS (SPDRS) (BOOK ENTRY) UNITS UNDIVIDED BENEFICIAL INT
2,591	SARA LEE CORP
100	TELLABS INC
50	WEBMD CORP
25,000	FEDERAL HOME LOAN MTG CORP DATED DATE 10/31/01 BOOK ENTRY ONLY DUE 11/15/2004 3.250% MN 15
25,000	FEDERAL HOME LOAN MTG CORP DATED DATE 01/16/01 BOOK ENTRY ONLY DUE 01/15/2006 5.250% JJ 15

INSURED BANK DEPOSITS ²

DESCRIPTION

BARRINGTON BANK & TRUST COMPANY, N.A.

3. Creditors to whom amounts in excess of \$500 are owed as of the date of verification or were owed during the year preceding the date of verification by me or members of my immediate family (spouse and minor children residing with me), exclusive of revolving charge accounts with an outstanding liability equal to or less than \$5,000, the amount of each such obligation outstanding as of the date of verification and the maximum amount of each such obligation during such preceding year within the categories set forth in numbered paragraph 3 of the instructions:

(Here list in accordance with numbered paragraph 3 of the instructions.)

Category A - (less than \$5000)

First Card, VISA, Elgin, Illinois (revolving charge)

MBNA Master Card, Delaware (revolving charge)

My wife has ATT Universal Credit Card and Shell Credit Card. (revolving charge)

Category B - (Greater than \$5000 but not more than \$15,000)

None

Category C - (Greater than \$15,000 but not more than \$50,000)

Home mortgage at Ottawa Federal Savings and Loan, Ottawa, Illinois

Loans at First National Bank of Ottawa, Illinois (autos, etc.)

4. The name of any individual personally known by me to be licensed to practice law in Illinois who is a co-owner with me or members of my immediate family (spouse and minor children residing with me) of any of the economic interests disclosed in paragraphs 1 and 2, and the name of any person who has acted as a surety or guarantor of any of the obligations required to be disclosed in paragraph 3.

(Here list in accordance with numbered paragraph 4 of the instructions.)

None. (My wife is licensed to practice law in Illinois)